

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>20747/230</b> U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>10/517079</b>
INTERNATIONAL APPLICATION NO. <b>PCT/IB03/03163</b>	INTERNATIONAL FILING DATE <b>18 June 2003 (18.06.2003)</b>	PRIORITY DATE CLAIMED <b>18 June 2002 (18.06.2002)</b>
TITLE OF INVENTION <b>CELL PENETRATING PEPTIDES</b>		
APPLICANT(S) FOR DO/EO/US <b>HALLBRINK, Mattias , et al.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input checked="" type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: <b>See Attachment 1</b>		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <div style="font-size: 24pt; font-weight: bold;">10/517079</div>	INTERNATIONAL APPLICATION NO. PCT/IB03/03163	ATTORNEY'S DOCKET NUMBER 20747/230
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21. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....\$1100.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....\$950.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$790.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....\$750.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....\$100.00 <div style="text-align: center;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <th style="text-align: left;">CALCULATIONS</th> <th style="text-align: left;">PTO USE ONLY</th> </tr> <tr> <td style="height: 100px; vertical-align: bottom;">           \$ 950.00         </td> <td></td> </tr> <tr> <td style="height: 30px; vertical-align: bottom;">           Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months            from the earliest claimed priority date (37 CFR 1.492(e)).         </td> <td style="vertical-align: bottom;">           \$ 130.00         </td> </tr> </table>	CALCULATIONS	PTO USE ONLY	\$ 950.00		Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$ 130.00
CALCULATIONS	PTO USE ONLY						
\$ 950.00							
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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	388 - 20 =	368	X \$18.00	\$ 6,624.00	
Independent claims	27 - 3 =	24	X \$88.00	\$ 2,112.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$300.00	\$ 300.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 10,116.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$ 0.00	
<b>SUBTOTAL =</b>				\$ 10,116.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$ 0.00	
<b>TOTAL NATIONAL FEE =</b>				\$ 10,116.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$ 0.00	
<b>TOTAL FEES ENCLOSED =</b>				\$ 10,116.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$

a. ☒ A check in the amount of \$ 10,116.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit  
 Account No. 14-1138. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not  
 be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed  
 and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:  
 Andrew K. Gonsalves  
 Nixon Peabody LLP  
 Clinton Square  
 P. O. Box 31051  
 Rochester, NY 14603-1051  
 United States of America

SIGNATURE  
 Andrew K. Gonsalves  
 NAME  
 48,145  
 REGISTRATION NUMBER

10/517079

JT12 Rec'd PCT/PTO 0 6 DEC 2004

## Addendum

### Attachment 1

UNSIGNED Combined Declaration and Power of Attorney.  
Verified Statement Re Sequence Listing.

**EXPRESS MAIL CERTIFICATE**

DOCKET NO.: 20747/230

APPLICANT(S): HALLBRINK et al.

TITLE: CELL PENETRATING PEPTIDES


Certificate is attached to the Sequence Listing on CD-ROM (3) and Verified Statement re Sequence Listing of the above-named application.

"EXPRESS MAIL" NUMBER: EV522794487US

DATE OF DEPOSIT: December 6, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop: PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Shawn Lockett  
(Typed or printed name of person  
mailing paper or fee)

  
(Signature of person mailing paper or fee)

**EXPRESS MAIL CERTIFICATE**

DOCKET NO.: 20747/230

APPLICANT(S): HALLBRINK et al.

TITLE: CELL PENETRATING PEPTIDES

Certificate is attached to the Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) of the above-named application.

"EXPRESS MAIL" NUMBER: EV522794487US

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Shawn Lockett

(Typed or printed name of person  
mailing paper or fee)

  
(Signature of person mailing paper or  
fee)

10/517079

7712 Recd PCT/PTO 06 DEC 2004

**EXPRESS MAIL CERTIFICATE**

DOCKET NO.: 20747/230

APPLICANT(S): HALLBRINK et al.

TITLE: CELL PENETRATING PEPTIDES

Certificate is attached to the Preliminary Amendment  
(18 pages) of the above-named application.

"EXPRESS MAIL" NUMBER: EV522794487US

DATE OF DEPOSIT: December 6, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop: PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Shawn Lockett

(Typed or printed name of person  
mailing paper or fee)

  
(Signature of person mailing paper or fee)

**EXPRESS MAIL CERTIFICATE**

DOCKET NO.: 20747/230

APPLICANT(S): HALLBRINK et al.

TITLE: CELL PENETRATING PEPTIDES

Certificate is attached to the Unsigned Combined Declaration and Power of Attorney (5 pages) of the above-named application.

"EXPRESS MAIL" NUMBER: EV522794487US

DATE OF DEPOSIT: December 6, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop: PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Shawn Lockett  
**(Typed or printed name of person  
mailing paper or fee)**

  
**(Signature of person mailing paper or fee)**

**EXPRESS MAIL CERTIFICATE**

DOCKET NO.: 20747/230

APPLICANT(S): HALLBRINK et al.

TITLE: CELL PENETRATING PEPTIDES

Certificate is attached to the Information Disclosure Statement with PTO-1449 forms (4 pages) of the above-named application.

"EXPRESS MAIL" NUMBER: EV522794487US

DATE OF DEPOSIT: December 6, 2004

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop: PCT, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Shawn Lockett  
(Typed or printed name of person  
mailing paper or fee)

  
(Signature of person mailing paper or fee)